Senate Reference No. 96-5

ADMINISTRATIVE GUIDELINES FOR DEANS/CHAIRS TO ASSESS OPTION 1 FACULTY ON CREATIVE/RESEARCH/SCHOLARLY ACTIVITIES

The following guidelines have been reviewed by AOC and University FAC. They are for the purpose of assisting deans and chairs in assessing the nature of "an active research program" as specified in Senate Document 93-9, amended and approved 12/13/93.

1. A general principle is that Option 1 faculty have to continue to exercise an active agenda of scholarly/ creative activities typical of the kind which enabled them to earn tenure, i.e., their scholarship has to be at least adequate, focused and on-going.

2. A faculty member who has not had his/her scholarly work published or displayed/exhibited in any one year, is expected to be able to show the presence of a substantive, on-going set of research/creative activities which are likely to lead to publication/exhibition performance within a reasonable time period.

3. An active agenda of scholarship includes the publication of articles in scholarly/professional journals, books and book chapters, and/or the presentation of creative works and refereed or invited papers at artistic/scholarly gatherings of peers.

4. Another venue of scholarly/artistic activities which would qualify for continuing Option 1 status is the active pursuit of grants, fellowships, or awards recognizing scholarly substantive/writing/research/ creative work.

5. The question of sole or jointly authored works as a measure of adequacy is best determined by the research/ scholarly/artistic traditions in the faculty member's field and peer colleagues in the department.

6. If the Dean/Chair has a concern regarding research or scholarly adequacy of the efforts or outcomes of a faculty member's work that demonstrate an "active research program," the Dean/Chair should be guided by the advice and counsel of peer colleagues in the discipline and specific departmental criteria adopted by the respective academic sub-unit which should define "an active research program."

7. It should be noted that significant scholarly/creative work cannot be determined by a simple formula. It can, however, be assessed for quality and quantity through the usual channels of peer review.

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(signed)
Fenwick W. English
Vice Chancellor for Academic Affairs

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